## Researcher Frequently Asked Questions (FAQ) Oral Health Victoria

The following information is designed to assist researchers in fulfilling the requirements of Oral Health Victoria (OHV) in the planning and conduct of research within the Royal Dental Hospital of Melbourne (RDHM). OHV policies have been aligned to the answers to provide the researcher with a more in-depth understanding of the response.

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Is there an OHV/RDHM Human Research Ethics Committee (HREC)?	
OHV does <b>not</b> have a HREC. However, OHV has a Research and Evaluation Strategy Committee	
(RESC), a Research Review Group (RRG) and a Research and Evaluation Governance	
Committee (REGC), which governs the conduct of research within the organisation. The	
principal investigator will need to apply for ethics approval from a National Health and	
Medical Research Council (NHMRC)-approved HREC. This ethics approval must be submitted to	
the OHV-RRG prior to receiving approval from OHV-REGC.	
Can I submit my OHV research application at the same time as my HREC application?	
No, when submitting an OHV Research Application Form to the OHV Research Review Group	
(RRG) you will need to provide the –	
- OHV-RESC approval email, and the	
- HREC full application and ethics approval letter.	
Please ensure you consider OHV organisational requirements, such as those shown in this	
document, in the planning phase of your research. If you have any questions, please contact	
the RRG at researchreviewgroup@dhsv.org.au	
How long will the OHV-RRG take to provide a decision regarding my research?	
OHV will aim to have the process completed within 4-5 weeks, however at times when there	
are complexities within the project, the process may take longer. If this is the case the RRG	
will keep the researcher informed along the way.	
My project has been approved, how will I be expected to manage patient records?	Clinical
It is the responsibility of all OHV employees, researchers and students to manage records in	Information
accordance with the Health Records Act 2001 and OHV's Clinical Information Management	Management
Procedure. Compliance with these policies ensures the privacy of and respectful management of	Procedure
patient information. It is a breach of policy to remove dental records from OHV clinics (including	Procedure
the RDHM building) and records must not be stored within University grounds (or levels 5 or	Privacy Policy and
level 6within the RDHM building).	Procedure
Researchers will be required to advise Health Information Services of the specific location in	Procedure
which records will be stored and the names of any other party who may request records as part	
of the project. Records must be tracked to each location and remain readily accessible to OHV	
staff at all times for use at appointments, referrals or administrative purposes.	
A maximum of 30 records can be provided per week unless otherwise agreed to by the Health	
Information Services Team Leader.	
Researchers should be mindful that it is standard practice once a patient finishes treatment and	
has no planned appointments in the hospital computer system and no active waitlist or referral	
entries their record may be transferred offsite after a certain period of time. Whilst the hospital	
has a system for tracking patient files researchers should also keep tracking records on the files	
they have taken. Files should be collected and returned at the agreed time and be made	
available on request.	Dagarda
As a researcher can I send a letter of invitation to patients to participate in my research?	Records
OHV privacy policy requires that only OHV staff contact patients, on behalf of the researcher, to	Management
invite their participation in your project. The distribution of a letter of invitation will incur	Policy and
administration costs. If approval has been received through the OHV research review process,	Procedure
researchers are able to invite patients to take part in the research whilst they are attending	
their regular appointments at no cost. It is always important to consider patient	
and staff privacy and welfare during this process.	

I want to access patient files at RDHM. Will there be a cost?  There is no cost access electronic files.  For hardcopy files, this will depend on whether the files you require are held at RDHM. Files that are stored on site would be made available at no cost. RDHM incurs a cost for the access and retrieval of patient files stored off-site. This cost will be transferred to the researcher and should be considered in your research budget. As a guide, the research budget should consider a cost for retrieval and return of records to offsite storage of \$3.36 per file excluding GST. An estimated cost for records retrieval will be provided by the OHV-REGC during the approval process with confirmation from the principal investigator that the costs will be covered before approval is provided.	Records Management Policy and Procedure
I want to bring my own instruments/equipment into RDHM when I conduct my research. Is this a problem?  Any clinician intending to demonstrate or introduce new equipment (i.e. equipment not currently approved for use in OHV services) must gain approval from the OHV Product Evaluation & Technology Assessment Committee (PE&TAC) and the OHV-PE&TAC will advise the RDHM Safety and Quality Committee if specific credentials or experience is required to use the equipment. Please provide information regarding the instruments/equipment that you want to use as part of your research in your OHV Research Application Form. This request will be considered in the research review process. Please be aware that this may delay the research approval process.	Credentialing and Scope of Clinical Practice  Product Evaluation and Technology Assessment Committee  CSSD New, Specialised, Trial and Loan Reusable Medical Devices Procedure
I want to collect blood, tissue, saliva or extract teeth. Is there anything I need to consider?  OHV will require that your research protocol address the issues of consent, infection control, instrument requirements and procedural competency.  Consent – patient must be provided an opportunity to consent (or withdraw consent) regarding the collection of biological material.  Infection control – the procedure of collection of biological material should fulfil all OHV requirements regarding infection control, occupational exposure and sharps injury.  Instrument requirements – approved through application to OHV-PE&TAC  Procedural competency – approval required through application to the Credentialing and Scope of Clinical Practice.	Occupational Exposure Involving Blood and Body Fluids Policy (Needle stick injuries)  Management of Extracted Teeth  Credentialing and Scope of Clinical Practice
Credentialing Within the OHV research application process; unless you are a OHV staff member or a University of Melbourne (UoM) post graduate student, in which case you will already be credentialed, you will undergo a credentialing process.	Credentialing and Scope of Clinical Practice
Is it OK for other researchers to examine/treat patients?  All researchers examining/treating patients within RDHM as part of their research/training must be credentialed. If other researchers are providing administrative or research supervision and aren't providing any clinical input then they will only need to be listed on the application. You will also need to provide information on what their involvement will be in the OHV Research Application Form.	Credentialing and Scope of Clinical Practice

## Your project has been approved, so where to from here?

When am I able to start my research?	
You will be provided with a formal approval letter from the OHV-REGC (Research and Evaluation	
Governance Committee). You <b>must not begin</b> any aspects of the research project at RDHM until	
you have received this formal notification.	
Will I have specific dental chairs allocated to my project?	
Dental chairs are under high demand at the RDHM and you will need to liaise closely with the	
unit manager in the department that you will be working in to find times to access chairs. It is	
important to consider the patient and staff needs and ensure chairs are cleaned to OHV	
standards after use.	
I want to make an amendment to my project what should I do?	
Where amendments to the research occur following the REGC approval, the HREC approval	
email/letter for the amendment should be forwarded to the OHV-RRG. OHV reserves the right to	
rescind approval where this notification is not provided or if the amendment does not align with	
OHV/RDHM policies and procedures.	
I'm finished my research what should I do?	
Please provide a copy of the HREC final report to the OHV-RRG. The support received from OHV	
must be acknowledged in all future publications emerging from this research. We would also be	
interested to hear about your research findings.	
I'd like to present my findings to staff at OHV, how do I go about this?	
Please send a request via the <u>RRG secretariat</u> , they will advise the RRG of your request and	
provide you with an answer.	
I haven't finished my project within the HREC timeframe, what should I do?	
The principal investigator will need to provide the OHV-RRG a copy of the HREC letter approving	
the extension. OHV reserves the right to rescind approval where this notification is not provided	
or where continuation of the research would cause undue cost to the organisation or impact on	
staff and/or patient welfare.	
I want to work outside of office hours. Am I able to do this?	
Working outside office hours can raise problems for the hospital e.g. health and safety. There is	
limited opportunity to conduct clinical research after hours due to chair, staff and health and	
safety issues. Details of your requirements should be included in the OHV Research Application	
Form.	
I want to place a flyer on an RDHM department noticeboard. How will I go about this?	
Prepare the flyer and include it in both the OHV Research Application Form and the HREC	
application detailing where it is likely to be used.	
What do I do if I have an incident at RDHM?	Clinical Incident
You must report the incident or near miss to the manager of the department and the Head of	and Risk
Unit (if the incident occurs in a Specialist Department). If you are uncertain about anything	Management
always ask the RDHM staff. The department manager and Head of Unit will assist you with	Procedure
completing a OHV incident form (VHIMS).	
I would also like to conduct part of my research within community dental agencies. Do I need	
to apply to OHV or to the agencies?	
The OHV research approval process relates to RDHM and OHV only. In order to conduct research	
within community dental agencies you will need to apply directly to the agency involved.	